

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

h re Application of: Jang et al.

Serial No.:

09/470,026

Examiner: unknown

Filed:

December 22, 1999

Group Art Unit: unknown

For:

ENDOLUMINAL OCCLUSION-IRRIGATION CATHETER WITH

ASPIRATION CAPABILITIES AND METHODS OF USE

Docket No.:

1001.1425101

### INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on

day of August, 2001.

Glenn M. Seager

**Assistant Commissioner for Patents** Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F. R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

JANG, ET AL

By their attorney

Glenn M. Seager, Reg. No. 36,926

CROMPTON, SEAGER & TUFTE, LLC

331 Second Avenue South, Suite 895

Minneapolis, MN 55401-2246 Telephone:

(612) 677-9050

Facsimile:

(612) 359-9349

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Group Art Unit:

unknown

Docket No.:

1001.1425101

ENDOLUMINAL OCCLUSION-IRRIGATION CATHETER WITH ASPIRATION

# TRANSMITTAL SHEET

Assistant Commissioner for Patents Washington, D.C. 20231

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CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Paterits, Washington, D.C. 20231, on

14 day of

Glenn M. Seager

We are transmitting herewith the attached:

[X]

[X]

[X]

Information Disclosure Statement.

[] Check in the amount of \_\_\_\_\_.

Form 1449 with Two Hundred Sixty-Five (265) references.

Postcard receipt.

Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 5040413 [X]

fees to Deposit Account No. 5040413/

By: Glenn M. Seager, Reg. No. 36,926

CROMPTON, SEAGER & TUFTE, LLC

331 Second Avenue South

Suite 895

Minneapolis, Minnesota 55401-2246

Telephone: (612) 677-9050 Facsimile: (612) 359-9349

FORM PTO-1449	Atty. Docket No.: 1001.1425101	Serial No.: 09/470,026
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	Applicant:  Jang et al.	
STATEMENT	Filing Date:	Group Art:
	December 22, 1999	unknown

#### PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,214,026	04/10/01	Lepak et al.	606	200	
	6,203,561	03/20/01	Ramee et al.	606	200	
	6,179,861	01/30/01	Khosravi et al.	606	200	
	6,179,859	01/30/01	Bates et al.	606	200	
	6,179,851	01/30/01	Barbut et al.	606	159	
	6,171,327	01/09/01	Daniel et al.	606	200	
	6,168,579	01/02/01	Tsugita	604	96.01	
	6,165,200	12/26/00	Tsugita et al.	606	200	
	6,152,946	11/28/00	Broome et al.	606	200	
	6,142,987	11/07/00	Tsugita	604	500	
. •	6,129,739	10/10/00	Khosravi	606	200	
	6,086,605	07/11/00	Barbut et al.	606	200	
-	6,068,645	05/30/00	Tu	606	200	
	6,059,814	05/09/00	Ladd	606	200	
	6,053,932	04/25/00	Daniel et al.	606	200	
	6,051,014	04/18/00	Jang	606	200	
	6,027,520	02/22/00	Tsugita et al.	606	200	
	6,010,522	01/04/00	Barbut et al.	606	200	A FI
	6,007,557	12/28/99	Ambrisco et al.	606	200	C PARTE NO
	6,001,118	12/14/99	Daniel et al.	606	200	T 9 BLANKS
	5,997,557	12/07/99	Barbut et al.	606	159	200
	5,993,469	11/30/99	McKenzie et al.	606	159	
	5,989,281	11/23/99	Barbut et al.	606	200	
	5,976,172	11/02/99	Homsma et al.	606	200	
	5,954,745	09/21/99	Gertler et al.	606	200	

**EXAMINER:** 

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Serial No.: 09/470,026

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATIONDISCLOSURE STATEMENT Applicant: Jang et al.

Filing Date:

Group Art:

December 22, 1999

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,951,585	09/14/99	Cathcart et al.	606	198	-
	5,947,995	09/07/99	Samuels	606	200	
	5,941,896	08/24/99	Kerr	606	200	
	5,941,869	08/24/99	Patterson et al.	604	508	
	5,935,139	08/10/99	Bates	606	159	
	5,925,063	07/20/99	Khosravi	606	200	
	5,925,062	07/20/99	Purdy	606	200	
	5,925,060	07/20/99	Forber	606	191	
	5,916,193	06/29/99	Stevens et al.	604	53	
	5,911,734	06/15/99	Tsugita et al.	606	200	
	5,910,154	06/08/99	Tsugita et al.	606	200	
	5,908,435	06/01/99	Samuels	606	200	
	5,906,618	05/25/99	Larson, III	606	108	
	5,902,263	05/11/99	Patterson et al.	604	22	
	5,895,399	04/20/99	Barbut et al.	606	159	
	5,893,867	04/13/99	Bagaoisan et al.	606	198	
	5,876,367	03/02/99	Kaganov et al.	604	8	
	5,848,964	12/15/98	Samuels	600	200	
•	5,846,260	12/08/98	Maahs	606	20€	D D
	5,833,644	11/10/98	Zadno-Azizi et al.	604	52	2 11
	5,827,324	10/27/98	Cassell et al.	606	200	6 2
	5,817,102	10/06/98	Johnson et al.	606	108≅	9
	5,814,064	09/29/98	Daniel et al.	606	200	io m
	5,810,874	09/22/98	Lefebvre	606	200 🛱	D
	5,800,525	09/01/98	Bachinski et al.	623	1	
	5,800,457	09/01/98	Gelbfish	606	200	

**EXAMINER:** 

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Serial No.: 09/470,026

LIST OF PATENTS AND APPLICANT'S INFORMATIONDISCLOSURE STATEMENT

Applicant: Jang et al.

Filing Date:

Group Art:

December 22, 1999

unknown

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,797,952	08/25/98	Klein	606	198	
	5,795,322	08/18/98	Boudewijn	604	22	
	5,792,300	08/11/98	Inderbitzen et al.	156	244.13	
	5,779,716	07/14/98	Cano et al.	606	114	
	5,769,816	06/23/98	Barbut et al.	604	96	
	5,749,848	05/12/98	Jang et al.	604	53	
	5,746,758	05/05/98	Nordgren et al.	606	159	
	5,728,066	03/17/98	Daneshvar	604	96	
	5,720,764	02/24/98	Naderlinger	606	200	
	5,709,704	01/20/98	Nott et al.	606	200	
	5,695,519	12/09/97	Summers et al.	606	200	
	5,669,933	09/23/97	Simon et al.	600	200	
	5,662,671	09/02/97	Barbut et al.	606	170	
-	5,658,296	08/19/97	Bates et al.	606	127	
	5,634,897	06/03/97	Dance et al.	604	35	
	5,569,275	10/29/96	Kotula et al.	606	159	
	5,569,274	10/29/96	Rapacki et al.	606	158	
	5,549,626	08/27/96	Miller et al.	606	200	品
	5,540,707	07/30/96	Ressemann et al.	606	159	REC AU
	5,536,242	07/16/96	Willard et al.	604	30	AUS OLOG
	5,527,354	06/18/96	Fontaine et al.	623	1	~
	5,512,044	04/30/96	Duer	604	22	2 9 2 CENTI
	5,507,767	04/16/96	Maeda et al.	606	198	VED 9 2001 NTER R8700
	5,484,418	01/16/96	Quiachon et al.	604	167	<b>D</b>
	5,476,104	12/19/95	Sheahon	128	757	
	5,462,529	10/31/95	Simpson et al.	604	101	

**EXAMINER:** 

**FORM PTO-1449** 

Atty. Docket No.: 1001.1425101

Serial No.: 09/470,026

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATIONDISCLOSURE STATEMENT

Applicant: Jang et al.

Filing Date:

December 22, 1999

Group Art:

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,456,667	10/10/95	Ham et al.	604	107	
-	5,449,372	09/12/95	Schmaltz et al.	606	198	
	5,443,498	08/22/95	Fontaine	623	1	
	5,425,765	06/20/95	Tiefenbrun et al.	623	12	
	5,423,885	06/13/95	Williams	623	1	
	5,421,832	06/06/95	Lefebvre	604	53	
	5,419,774	05/30/95	Willard et al.	604	22	
	5,415,630	05/16/95	Gory et al.	604	53	
	5,409,454	04/25/95	Fischell et al.	604	22	
	5,405,377	04/11/95	Cragg	623	1	
	5,397,345	03/14/95	Lazerus	623	1	
	5,395,349	03/07/95	Quiachon et al.	604	248	
	5,387,235	02/07/95	Chuter	623	1	
-	5,383,926	01/24/95	Lock et al.	623	1	
	5,383,892	01/24/95	Cardon et al.	606	198	
	5,383,887	01/24/95	Nadal	606	200	
	5,376,100	12/27/94	Lefebvre	606	180	
	5,370,683	12/06/94	Fontaine	623	1	
	5,370,657	12/06/94	Irie	606	200	7
	5,366,473	11/22/94	Winston et al.	606	198	T <del>F</del> CHINI
	5,366,464	11/22/94	Belknap	606	159	AUG
	5,356,423	10/18/94	Tihon et al.	606	194	
	5,354,310	10/11/94	Garnic et al.	606	198 N	
	5,350,398	09/27/94	Pavcnik et al.	606	200 문	2001
	5,330,500	07/19/94	Song	606	198 8	
	5,329,942	07/19/94	Gunther et al.	128	898	

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Serial No.: 09/470,026

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATIONDISCLOSURE **STATEMENT** 

Applicant: Jang et al.

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Group Art:

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,318,576	06/07/94	Plassche, Jr. et al.	606	159	
	5,314,472	05/24/94	Fontaine	623	12	
	5,314,444	05/24/94	Gianturco	606	195	
	5,306,286	04/26/94	Stack et al.	606	198	
	5,224,953	07/06/93	Morgentaler	606	192	
	5,195,955	03/23/93	Don Michael	604	22	
	5,190,546	03/02/93	Jervis	606	78	
	5,171,233	12/15/92	Amplatz et al.	604	281	
	5,160,342	11/03/92	Reger et al.	606	200	
	5,152,777	10/06/92	Goldberg et al.	606	200	
	5,152,771	10/06/92	Sabbaghian et al.	606	159	
	5,135,531	08/04/92	Shiber	606	159	
	5,133,733	07/28/92	Rasmussen et al.	606	200	
	5,108,419	04/28/92	Reger et al.	606	200	
	5,104,399	04/14/92	Lazarus	623	1	
	5,102,415	04/07/92	Guenther et al.	606	159	
	5,100,425	03/31/92	Fischell et al.	606	159	
	5,100,424	03/31/92	Jang et al.	606	159	
	5,100,423	03/31/92	Fearnot	606	15	긂
	5,087,265	02/11/92	Summers	606	159	TECHNOLO
	5,085,662	02/04/92	Willard	606	159	3070 307
	5,071,425	12/10/91	Gifford, III et al.	606	159	<u>-</u>
	5,071,407	12/10/91	Termin et al.	604	104	N 2 6
	5,053,044	10/01/91	Mueller et al.	606	159	R 02 m
	5,053,008	10/01/91	Bajaj	604	104	
	5,041,126	08/20/91	Gianturco	606	195	

# **FORM PTO-1449**

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Atty. Docket No.: 1001.1425101

Serial No.: 09/470,026

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATIONDISCLOSURE STATEMENT Applicant:

Filing Date:

Jang et al.

Group Art:

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,019,088	05/28/91	Farr	606	159	
	5,011,488	04/30/91	Ginsburg	606	159	
	5,007,917	04/16/91	Evans	606	170	
	5,007,896	04/16/91	Shiber	604	22	
	5,002,560	03/26/91	Machold et al.	606	198	
	4,998,539	03/12/91	Delsanti	128	898	
	4,986,807	01/22/91	Farr	604	22	
	4,979,951	12/25/90	Simpson	606	159	
	4,969,891	11/13/90	Gewertz	606	200	
	4,957,482	09/18/90	Shiber	604	22	
	4,955,895	09/11/90	Sugiyama et al.	606	194	
	4,950,277	08/21/90	Farr	606	159	
	4,926,858	05/22/90	Giffort, III et al.	606	159	
	4,921,484	05/01/90	Hillstead	604	104	
	4,907,336	03/13/90	Gianturco	29	515	
	4,898,575	02/06/90	Fischell et al.	604	22	
	4,873,978	10/17/89	Ginsburg	128	345	
	4,867,157	09/19/89	McGurk-Burleson et al.	128	305	
	4,857,046	08/15/89	Stevens et al.	604	22	THO
	4,857,045	08/15/89	Rydell	604	22	ALE C
	4,842,579	06/27/89	Shiber	606	22	AUG AUG
	4,807,626	02/28/89	McGirr	128	328	
	4,800,882	01/31/89	Gianturco	128	343	2 9 2001 CENTER R3
	4,794,931	01/03/89	Yock	128	660.03	R3700
	4,794,928	01/03/89	Kletschka	128	344	8 0
	4,790,813	12/13/88	Kensey	604	22	

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Serial No.: 09/470,026

LIST OF PATENTS AND TUBLICATIONS FOR APPLICANT'S INFORMATIONDISCLOSURE STATEMENT

Applicant: Jang et al.

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	4,790,812	12/13/88	Hawkins, Jr. et al.	604	22	
	4,733,665	03/29/88	Palmaz	128	343	
	4,728,319	03/0188	Masch	604	22	
	4,723,549	02/09/88	Wholey et al.	128	344	
	4,706,671	11/17/87	Weinrib	128	348.1	
	4,705,517	11/10/87	DiPisa, Jr.	623	12	
	4,662,885	05/05/87	DiPisa, Jr.	623	12	
	4,650,466	03/17/87	Luther	604	95	
	4,643,184	02/17/87	Mobin-Uddin	128	303	
	4,631,052	12/23/86	Kensey	604	22	
	4,619,246	10/28/86	Molgaard-Nielsen et al.	128	1	
	4,590,938	05/27/86	Segura et al.	128	328	
	4,580,568	04/08/86	Gianturco	128	345	
	4,447,227	05/08/84	Kotsanis	604	95	
	4,425,908	01/17/84	Simon	128	1	
	4,046,150	09/06/77	Schwartz et al.	128	328	
	3,996,938	12/14/76	Clark, III	128	348	
	3,952,747	04/27/76	Kimmell, Jr.	128	303R	
	3,889,657	06/17/75	Baumgarten	128	2	•
	3,683,904	08/15/72	Forster	128	127 S	2
	3,592,186	07/13/71	Oster	128	2R ≤	
	3,472,230	10/1969	Fogarty	128	328	e ()
	Re 33,569	04/1991	Gifford, III et al.	606	159 🛱	2 [1]
	B1 4,842,579	10/31/95	Shiber	604		3 5
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LIST OF PATENTS	AND PUBLICATIONS FOR
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#### FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Class	Sub Class	Translation Yes No
WO 00/49970	08/31/00	WIPO	A61F	2/01	
WO 00/16705	03/30/00	WIPO	A61B	17/22	
WO 00/09054	02/24/00	WIPO	A61F	7/12	
WO 00/07655	02/17/00	WIPO	A61M	29/00	
WO 99/58068	11/18/99	WIPO	A61B	17/22	
WO 99/55236	11/04/99	WIPO	A61B	17/00	
 WO 99/44542	09/10/99	WIPO	A61F	2/06	
WO 99/44510	09/10/99	WIPO	A61B	17/00	
EP 0 934 729	08/11/99	WIPO	A61B	17/22	
WO 99/42059	08/26/99	WIPO	A61F	2/06	
WO 99/30766	06/24/99	WIPO	A61M	29/00	
 WO 99/25252	05/27/99	WIPO	A61B	17/00	
WO 99/23976	05/20/99	WIPO	A61F	2/01	
WO 99/09895	03/04/99	WIPO	A61B	17/12	
WO 98/55175	12/10/98	WIPO	A61M	29/00	
WO 98/51237	11/19/98	WIPO	A61F	2/01	
WO 98/50103	11/12/98	WIPO	A61M	29/00	·
 WO 98/49952	11/12/98	WIPO	A61B	17/32	
WO 98/47447	10/29/98	WIPO	A61F	2/06	TECHNO
WO 98/46297	10/22/98	WIPO	A61M	29/00	ONH 7
WO 98/39053	09/11/98	WIPO	A61M	29/00	<b>AUG</b>
 WO 98/39046	09/11/98	WIPO	A61M	25/00	2 / CE
WO 98/38929	09/11/98	WIPO	A61B	17/22	2001 NTEP R
WO 98/38920	09/11/98	WIPO	A61B	17/00	R3700

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Applicant:

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATIONDISCLOSURE STATEMENT

Jang et al.

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Group Art:

unknown

,	Document No.	Date	Country	Class	Sub Class	Translation Yes No
	WO 98/36786	08/27/98	WIPO	A61M	5/32	
	WO 98/34673	08/13/98	WIPO	A61M	31/00	
	WO 98/33443	08/06/98	WIPO	A61B	17/22	
	EP 0 852 132 A1	07/08/98	EPO	A61F	2/01	English Abstract
	WO 98/23322	06/04/98	WIPO	A61M	29/00	
	WO 98/02084	01/22/98	WIPO	A61B		
	WO 98/02112	01/22/98	WIPO	A61F	2/01	English Abstract
	WO 97/42879	11/20/97	WIPO	A61B	17/00	
	WO 97/27808	08/07/97	WIPO	A61B	17/22	
	EP 0 784 988 A1	07/23/97	EPO	A61M	5/165	
-	WO 97/17100	05/15/97	WIPO	A61M	29/00	
	EP 0 771 549 A2	05/07/97	EPO	A61F	2/01	English Abstract
	EP 0 759 287 A1	02/26/97	EPO	A61F	2/01	English Abstract
	EP 0 743 046 A1	11/20/96	EPO	A61F	2/01	
	WO 96/33677	10/31/96	WIPO	A61F	11/00	
	EP 0 737 450 A1	10/16/96	EPO	A61F	2/01	
	WO 96/23441	08/08/96	WIPO	A61B	5/00	
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	WO 96/19941	07/04/96	WIPO	A61B	17/00	
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Serial No.: 09/470,026

NS FOR OSURE **STATEMENT** 

Applicant: Jang et al.

Filing Date:

Group Art:

December 22, 1999

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